

# EXHIBIT 8

IN THE UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

CHRISTOPHER HOWE,  
individually and on behalf  
of all others similarly  
situated,

Plaintiffs,

vs.

SPEEDWAY, LLC,

Defendant.

Case No.  
1:19-cv-01374

CONTAINS PORTIONS PRELIMINARILY  
DESIGNATED CONFIDENTIAL

The expert deposition of CHRISTOPHER  
DAFT, Ph.D., taken remotely via Zoom, called by  
the Defendant for examination, taken pursuant to  
the Federal Rules of Civil Procedure of the  
United States District Courts pertaining to the  
taking of depositions, taken before Marianne  
Nee, a Certified Stenographic Reporter of the  
State of Illinois, CSR License No. 084-002341,  
taken on Friday, September 24, 2021, commencing  
at 10:02 a.m. Central Time.

CASE NO. 40835

P R O C E E D I N G S:

\* \* \*

(Witness sworn/affirmed.)

CHRISTOPHER DAFT, Ph.D.,

called as a witness herein, having been first  
duly sworn/affirmed, was examined and testified  
as follows:

EXAMINATION

BY MR. WOLFE:

Q. Good morning, Dr. Daft.

A. Good morning.

Q. Could you state your name for the  
record, please?

A. Yes. My full name is Christopher Mark  
William Daft.

Q. Have you ever had your deposition taken  
before?

A. Yes, I have.

Q. How many times?

A. I believe it's six times.

Q. You understand that you are under oath  
today and your testimony needs to be truthful  
just as it would if you were in front of a judge  
or jury?

1           A.     Depending on how fast you can place  
2 your finger. I mean, it depends on how many  
3 fingers you want to enroll and how rapidly you  
4 can change your position, the position of your  
5 fingers for it to do -- I mean, it has to do  
6 multiple acquisitions with different  
7 orientations of the fingers. So that's why I'm  
8 saying it could vary.

9           Q.     I understand. I asked you a bad  
10 question. I want to ask a little bit different  
11 question. Fingerprint enrollment, it says image  
12 acquisition, image processing, feature  
13 extraction, template generation.

14                   From the time that a person puts their  
15 finger on the sensor and the image is acquired  
16 until the time that a template is generated --  
17 I'm talking about just one touch -- how long  
18 does that process take?

19           A.     That's very fast. Less than a second.

20           Q.     Less than a half of a second?

21           A.     I think so.

22           Q.     Less than a quarter second?

23           A.     That depends on the speed of the  
24 phone's processor, but the user experience

1 requires that it be fast.

2 Q. Is that true of other fingerscan  
3 technology commonly used in consumer products as  
4 well?

5 A. The Touch ID is also fast at that  
6 process.

7 Q. Based on your experience working on the  
8 Qualcomm phone, it would have been unacceptable  
9 if the enrollment of a single fingerscan took  
10 more than a half a second, right?

11 A. Yes. In a consumer electronics device,  
12 you don't want the user experience to be  
13 tiresome. So I certainly would say that if each  
14 image acquisition were taking five seconds, this  
15 would not be a user experience that would be  
16 competitive.

17 Q. And what is actually stored in the  
18 database is a template, right?

19 A. That's correct.

20 Q. The image is not stored in the  
21 database?

22 A. That's correct.

23 Q. Would there be practical reasons that  
24 you wouldn't want to store the image in the

1 database?

2 A. Yes.

3 Q. What are they?

4 A. A template is simply a set of features  
5 extracted from the fingerprint, and that can be  
6 considerably smaller than the fingerprint image,  
7 so it makes engineering sense to store the  
8 smaller representation.

9 Q. Do you know how many bytes a template  
10 is typically made up of?

11 A. Well, it depends on how rich you want  
12 the representation of the fingerprint to be.

13 Q. The template on the Qualcomm phone, do  
14 you know how many bytes it takes up?

15 A. I think it's a kilobyte, something in  
16 that range.

17 Q. One kilobyte?

18 A. Yes.

19 Q. In the scheme of templates, is that a  
20 big one or a small one?

21 A. I think it's normal. The point is that  
22 it captures enough -- I mean, it -- you can  
23 think of it as a digit compression, you know,  
24 like you do a zip file, you do a zip operation

1 Q. Does the Qualcomm product, does it hold  
2 an entire image or does it process it through  
3 segments?

4 A. It acquires the fingerprint image and  
5 then it does -- let me start again. It acquires  
6 the ultrasound data.

7 It processes that into a fingerprint  
8 image, and then the cleanup process we've been  
9 discussing is applied and then the template is  
10 made. So it's an image up to the feature  
11 extraction.

12 Q. Is it fair to say that -- never mind.  
13 I already asked that question. I'm not going to  
14 waste your time.

15 Just to make sure that we understand  
16 each other, what does the term feature  
17 extraction mean to you?

18 A. It means taking the fingerprint image  
19 and extracting data that has the essence of the  
20 image in it but is smaller.

21 Q. And what does the term template  
22 generation mean to you?

23 A. That is making the small binary file  
24 which gets stored in the database and uses it

1 for matching.

2 Q. Is it your opinion that there is no  
3 difference between a template and a fingerprint  
4 image like the one that you captured in the  
5 Qualcomm device?

6 A. That's not my opinion, no.

7 Q. What is the difference?

8 A. The difference -- well, there are  
9 several differences. The template must capture  
10 the essence of the fingerprint in order for the  
11 device to function, but the template is  
12 considerably smaller than the fingerprint image.

13 Q. And when you say considerably smaller,  
14 what do you mean?

15 A. I mean that the fingerprint image might  
16 be hundreds of kilobytes when it comes out of  
17 the image processing block in the finger we're  
18 looking at, whereas the template is perhaps 100  
19 times smaller.

20 Q. And what happens that makes the  
21 template 100 times smaller than the fingerprint  
22 image?

23 A. What happens that makes it a lot  
24 smaller is that the feature extraction is



1 finding the key characteristics of the  
2 fingerprint image and retaining only those  
3 characteristics.

4 Q. Did you have any involvement in any  
5 biometric security aspect of the Qualcomm  
6 products?

7 A. The initial device was actually I  
8 believe aimed at government customers, so there  
9 was a small amount of discussion at the  
10 beginning of the project about whether, you  
11 know, this was going to be something developed  
12 for law enforcement, and the decision was then  
13 taken to only focus on the consumer device.

14 Q. I'm sorry. I must have asked a bad  
15 question. My question is, did you have any  
16 involvement in the biometric security aspect of  
17 the product, by which I mean encryption or other  
18 things meant to keep the data secure?

19 A. No. That's really on the -- that's the  
20 part which was done by the Qualcomm group that I  
21 didn't interact with.

22 Q. You said you worked on the Qualcomm  
23 project for about two years.

24 A. Yes, something like that. Maybe two or

1 voltage for example. But I should qualify this  
2 because in recent years in engineering there has  
3 been an enormous amount of work on systems that  
4 produce all of the data that we want, so a  
5 complete fingerprint image without distortion,  
6 while they do not conform to the Nyquist  
7 requirement. So I don't -- so classically,  
8 historically, Nyquist requirement is a huge  
9 deal. In recent years people have been finding  
10 ways around this.

11 Q. What are the ways around it?

12 A. So there is a technique in engineering  
13 called compressed sensing. What compressed  
14 sensing means is acquiring data that doesn't  
15 conform to Nyquist and nevertheless getting all  
16 of the information out of -- let me put it in  
17 our context -- getting all of the fingerprint  
18 information that there is.

19 So compressed sensing is an engineering  
20 technique that is currently on file because it  
21 turns out that conforming to the Nyquist  
22 requirement has a large bearing on the cost of  
23 devices.

24 So basically what I'm saying is

1 classically Nyquist tells you how you sample in  
2 space and in time, but it's not fair to say that  
3 that is a completely rigorous requirement that  
4 if you don't meet it, your device stops working.  
5 That is not -- that is not true.

6 Q. What is the status of these new methods  
7 that people are developing to get around the  
8 Nyquist theorem?

9 A. They are involved -- they are using a  
10 variety of products already. For example,  
11 digital photography is using compressed sensing.  
12 So you get a photograph out of your digital  
13 camera that was not sent -- that was not sent at  
14 the Nyquist rate. The resolution of that  
15 photograph beats Nyquist.

16 Another example is diagnostic imaging.  
17 People are producing CT scans and particularly  
18 MRI scans when the dataset that's collected  
19 doesn't meet the Nyquist requirements, and still  
20 this is providing an image that a physician can  
21 use that doesn't have artifacts in it.

22 Q. What is the quality of the image that  
23 these methods provide?

24 A. They approach the data quality that you

1 would get if you conformed to the Nyquist  
2 requirements.

3 Q. Do they provide the same data quality  
4 that you would get if you conformed to the  
5 Nyquist requirements?

6 A. That depends. You see, the acquired  
7 data always has some problems. For example,  
8 every sensor has noise in it. So it's not a  
9 perfect fingerprint no matter what you're -- no  
10 matter how good your electronics is. So every  
11 acquired image has imperfections.

12 What this compressed sensing part of  
13 engineering is finding is that they can get the  
14 artifacts produced by the compressed sensing by  
15 not obeying Nyquist below the other  
16 imperfections in the dataset. So at that point  
17 it's as good as a data acquisition that conforms  
18 to Nyquist.

19 MR. WOLFE: This would be a good time  
20 to take a lunch break. So do you want to  
21 take 45 minutes?

22 MR. FICZKE: 45, half an hour, whatever  
23 works for all you guys.

24 MR. WOLFE: Let's do 45.

1 compression for photographs.

2 I wouldn't call the operation of  
3 forming the template, it's not similar to JPEG  
4 compression. It's more a feature extraction.  
5 But the output is a representation of the key  
6 information that's in the image. So I guess the  
7 only thing I would say -- what I'd say no to in  
8 response to your question is it's not like doing  
9 JPEG compression.

10 Q. Do you understand that an algorithm is  
11 applied to the image as part of feature  
12 extraction and results in a template?

13 A. Yes. The template is a calculation  
14 based on the fingerprint image.

15 Q. Does the template contain all of the  
16 information originally in the fingerprint image?

17 A. It does not. It contains the essence  
18 of it.

19 Q. Does it contain actual images of those  
20 essences of a finger image or does it contain  
21 them, you know, by typology, you know, ridge  
22 ending of this sort in this location?

23 A. It's -- the template is the result of  
24 feature extraction and so the template is a list

1 of features derived from the image.

2 Q. Can you explain to me the difference  
3 between identification and verification in the  
4 biometric context?

5 A. Yes.

6 Q. Please do.

7 A. The classic identification process is  
8 what the FBI does. The FBI has had for a long  
9 time the A-F-I-S system, and its purpose is to  
10 take fingerprint data and produce a name of a  
11 person. So that, as the name of the system  
12 implies, that's identification.

13 Verification is different.  
14 Verification is -- well, let me just give you an  
15 example. A person shows up to work. They slide  
16 their identity card into the time clock and they  
17 put their finger on the sensor. That's  
18 verification. So there the time clock is  
19 saying, Does this fingerprint match the  
20 individual who is defined by what's on the card?  
21 So that's different from the identification  
22 process.

23 Q. Do you know if the time clocks used by  
24 Speedway used verification or identification?

1           A.     I don't recall that point.

2           Q.     Do you know what the false acceptance  
3 rate is for the TimeLink and Kronos time clocks?

4           A.     Off the top of my head, no.

5           Q.     Is it -- do you agree that it's  
6 possible that time clocks used by Speedway  
7 potentially could confirm a user or  
8 authenticate -- sorry. Bad question.

9                     Do you know if the time clocks used by  
10 Speedway could potentially identify or verify a  
11 user incorrectly? Like if Mr. Ficzko and I had  
12 a similar finger -- set of finger ridges and I  
13 put my finger on it, is it possible that the  
14 clock could think I was Mr. Ficzko clocking in?

15          A.     That is possible.

16          Q.     How did these -- now I'm asking about  
17 the Speedway time clocks. How do those time  
18 clocks match a user to a fingerscan?

19          A.     There is a comparison between the  
20 template which has just been taken, so the live  
21 template. That is compared in the  
22 identification case with all of the registered  
23 fingerprints, and in the authentication case  
24 it's compared with just the employee here who

1 has swiped their ID card.

2 Q. And do you know ultimately, so after  
3 finger template to finger template is matched,  
4 how is that then linked back to an individual,  
5 if at all?

6 A. In the authentication case, the  
7 individual has been signalled by the card, and  
8 these devices are networked, and so the clock  
9 may have a database of employees or the clock  
10 may ask essential server for information as to  
11 which person this is, so either of those is  
12 possible.

13 Q. Okay. [REDACTED]  
[REDACTED]  
[REDACTED]

16 A. Yes. I have that up.

17 Q. Okay. It says:  
18 [REDACTED]  
19 [REDACTED]  
20 [REDACTED]  
21 [REDACTED]

22 A. I see that.

23 Q. I have a very basic question first.

24 There is no citation here. How do you know that



1 Speedway used those time clocks?

2 A. That was provided to me by retaining  
3 counsel.

4 Q. Do you know what kinds of sensors these  
5 clocks use, by which I mean acoustic,  
6 capacitive, optical, some other kind?

7 A. So there are three; the TimeLink, the  
8 Kronos -- the two Kronos are using the Sagem  
9 reader, and I guess the Syntel is using a  
10 different one, and these are optical devices.

11 Q. Other than this case, do you have any  
12 experience with optical sensors in time clocks?

13 A. I have lots of experience with optical  
14 sensors in my biomedical engineering work. This  
15 is the first case I've been involved with about  
16 time clocks.

17 Q. In the last ten years how much of your  
18 time have you spent working with optical  
19 sensors? Just by percentage.

20 A. This year probably 40 percent. Earlier  
21 than that, less.

22 Q. How much less? Less than ten percent?

23 A. Maybe ten percent is a reasonable  
24 number for previous years, but I don't have that

1 area -- I mentioned that I'm doing work with the  
2 University of Arizona and I hope there will be a  
3 publication about that, but as of today there is  
4 not.

5 Q. [REDACTED]  
6 [REDACTED]  
7 [REDACTED]  
8 [REDACTED]  
9 [REDACTED]  
10 [REDACTED]

11 So is it your opinion that a  
12 fingerprint was captured by the fingerprint  
13 reader used in the TimeLink 3100 and the Kronos  
14 9000 and 9100?

15 A. Yes.

16 Q. And that opinion was based on the  
17 methodology you described in paragraph 13 where  
18 you said what you did?

19 A. Yes.

20 Q. Okay. Go to paragraph 17, please.

21 A. I have that up.

22 Q. Here you write:  
23 [REDACTED]  
24 [REDACTED]

1 Q. People who will do things like approve  
2 time cards for payroll, right?

3 A. Yes.

4 Q. Is this document the entire basis for  
5 your opinion in the opening report that the  
6 TimeLink clocks collect a fingerprint?

7 A. No.

8 Q. So what else do you base that opinion  
9 on? Remember, this is just about your opening  
10 report.

11 A. Yeah. So my opinion that this is  
12 recording a fingerprint and it's using the  
13 fingerprint reader comes from not only that  
14 particular document but also my understanding  
15 having worked in the field of what the word  
16 fingerprint means.

17 To me it's plainly obvious that this is  
18 a time clock with a fingerprint reader on it.  
19 And why is it so obvious? Well, because I have  
20 worked on fingerprint readers and I am familiar  
21 with the literature, and the device that's  
22 pictured in that document is a fingerprint  
23 reader, and I am baffled by how there is  
24 controversy about that.

1 Q. So your opinion is based on the user  
2 manual and your experience in the field,  
3 correct?

4 A. Yes.

5 Q. That's all?

6 A. Well, as I say, I've been doing  
7 biomedical engineering for 30 years. I've  
8 worked on a large fingerprint project during  
9 which everyone in the team referred to it as a  
10 fingerprint reader, and that fingerprint reader  
11 appears to have the same function as the clock  
12 we're talking about here.

13 Q. Okay. Go to paragraph 19 of your  
14 opening report, please.

15 A. Okay. I see that.

16 Q. Does paragraph 19 state the entire  
17 basis for your opinion in the opening report  
18 that the Kronos 9000 and 9100 time clocks  
19 capture a fingerprint?

20 A. I think this is the same as what we  
21 just discussed. There is certainly user manual  
22 evidence that talks about fingerscan images, and  
23 my experience in the field backs up the --  
24 what's in the user manual which is that this is

1 plainly a fingerprint reader.

2 Q. Okay. I'm going to show you and mark  
3 as [REDACTED] which is the entire  
4 document. It's the full version of the document  
5 you cite in paragraph 19 for pages 51 and 93.

6 (Exhibit 8 was marked for  
7 identification.)

8 BY THE WITNESS:

9 A. Okay. I have that up.

10 BY MR. WOLFE:

11 Q. Can you go to page 51 of this document,  
12 please?

13 A. Okay. I am at page 51.

14 Q. [REDACTED]  
15 [REDACTED]

16 A. What I've got is page 51. [REDACTED]  
17 [REDACTED]

18 Q. I'm sorry. I mean [REDACTED]

19 A. Okay. Right. Let me go there.

20 Q. That was my fault.

21 A. I'm sorry. What was your question? I  
22 have got the Bates number now.

23 Q. [REDACTED]  
24 [REDACTED]

1 been instructed about how the BIPA uses these  
2 words is fingerscan, that's the process that you  
3 get a fingerprint from.

4 Q. Can we go to [REDACTED] in that  
5 document.

6 A. Okay. I've got that page.

7 Q. [REDACTED]  
8 [REDACTED]  
9 [REDACTED]  
10 [REDACTED]  
11 [REDACTED]  
12 [REDACTED]

13 A. Yes. So you see in the beginning of  
14 that [REDACTED]. So that's the  
15 process, and so as a result of that process  
16 you've got fingerprints, and that's what is  
17 converted into a template and the template is  
18 then matched against stored information.

19 Q. The template is matched against the  
20 stored template?

21 A. That's right.

22 Q. [REDACTED] if I didn't  
23 say that already.

24 Do you understand that Speedway also

1 Q. Do you have any reason to disagree with  
2 that?

3 A. No.

4 Q. So is it your opinion that the devices  
5 Speedway used stored an image like the one in  
6 Figure 1 of the ink fingerprint?

7 A. I'm sorry. Could you repeat that  
8 question?

9 Q. Is it your opinion that the  
10 devices/time clocks used by Speedway store an  
11 image like the ink fingerprint shown in Figure 1  
12 to Mr. Minta's opening report?

13 A. Yes. They have to because they need to  
14 compute the template.

15 Q. How long is that image stored?

16 A. I don't know.

17 Q. Is it stored permanently in solid state  
18 memory?

19 A. I don't have that information.

20 Q. You don't know one way or the other?

21 A. I don't know.

22 Q. Based on your experience in biometrics,  
23 that would be unusual, right?

24 A. It would be, but, you know, I don't

1           A.     Yes.

2           Q.     We established already that the  
3 TimeLink and Kronos devices both use the Morpho  
4 scanner, right?

5           A.     That's my understanding.

6           Q.     What is that understanding based on?

7           A.     Retaining counsel told me.

8           Q.     The Morpho scanner requires the user to  
9 put their finger in a fixed precise place,  
10 correct?

11          A.     I would need to look at the document  
12 about that. I don't have that information off  
13 the top of my head.

14          Q.     Okay. Let's go back to I think it's  
15 Exhibit 6 which is SSPA00001. And go to -- I  
16 may have my exhibit numbers wrong, but I'm  
17 talking about the TimeLink User Manual.

18          A.     Yes, I have that.

19          Q.     Go to page SSPA0004 again, the same one  
20 you relied on in your report, okay?

21          A.     I have that.

22          Q.     Do you see the Tip there in the center  
23 left of the page?

24          A.     I do.



1 [REDACTED]  
2 [REDACTED]  
3 [REDACTED]  
4 [REDACTED]  
5 [REDACTED]  
6 A. [REDACTED]  
7 [REDACTED]  
8 [REDACTED]  
9 [REDACTED]  
10 [REDACTED]  
11 [REDACTED]  
12 Q. Okay. Is it possible to enroll on a  
13 fingerscan device by using a body part other  
14 than the tip of your fingerpad?  
15 A. Most of the body does not have the  
16 ridges and valleys that you find on the hands,  
17 and so I think that most of the body one could  
18 not use for that purpose. The ridges are  
19 evolved for the use of the hand.  
20 Q. Are there parts of the body that you  
21 could use to enroll in a device? Because you  
22 said most of the body.  
23 A. I don't know whether there is --  
24 whether our toes have the same kind of ridges

1 and that would be the only place that I would  
2 not know. But my arm, for example, that would  
3 not work because it just doesn't have the ridges  
4 and valleys.

5 Q. What about a knuckle?

6 A. That seems -- that would be very  
7 different data than what the device is looking  
8 for, so I wouldn't be optimistic that that would  
9 work.

10 Q. Have you ever heard of such a thing?

11 A. No. When people are trying to defeat  
12 these types of devices, it's more the, you know,  
13 spoof finger, you know, made with a mold.

14 Q. My question was, have you ever heard of  
15 someone who could enroll on a time clock by  
16 using their knuckle or a different part of their  
17 hand or the back of their finger?

18 A. I have not heard of that.

19 Q. Have you ever used a fingerscan time  
20 clock in the course of your employment?

21 A. I have not.

22 Q. So staying on the topic of the  
23 Morpho-enabled devices, whatever image is  
24 captured can be no larger than the scan surface.

1 Do you agree with that?

2 A. I wouldn't put it that way.

3 Q. How would you put it?

4 A. The size of the image is going to be  
5 determined both by the dimensions of the scan  
6 surface and also by the resolution of the  
7 reader, so it's not just the scan surface.

8 Q. Let me simplify it. Can you look at  
9 Exhibit 10 again?

10 A. Can you tell me which one that is?

11 Q. [REDACTED]  
12 [REDACTED]

13 A. Okay. I have that one.

14 Q. Okay. [REDACTED]  
15 [REDACTED]

16 A. Yes. I see that.

17 Q. And this isn't based on the document,  
18 but based on your experience, under the scan  
19 surface is an optical sensor so there has to be  
20 some kind of equipment underneath the scan  
21 surface to capture the image, right?

22 A. There does.

23 Q. And what kind of equipment would that  
24 be generally?

1 medical imaging.

2 Q. We've been talking about the Kronos and  
3 TimeLink technology. Just to make sure that  
4 we're on the same page, you agree that those  
5 both use Morpho hardware inside and functionally  
6 for our purposes they're the same, right?

7 A. That's my understanding.

8 Q. Okay. I want to ask you just a few  
9 questions about the Synel clock.

10 Does the Synel clock require the user  
11 to put their finger on a fixed precise place?

12 A. I don't know. I'd need to look back at  
13 the manual. I don't have that information in my  
14 head.

15 Q. Do you remember, does it capture a roll  
16 or a swipe?

17 A. I don't believe it's a roll and I don't  
18 believe it's a swipe. I think it's the same  
19 user experience as the other three time clocks.  
20 That's just off the top of my head.

21 Q. Do you know how large the scan surface  
22 of the Synel device was?

23 A. I do not. Oh, I'm sorry. I take that  
24 back. So on my page -- on my paragraph 22 we

1 Q. This paragraph appears to address the  
2 distribution of fingerprint data from time  
3 clocks to other network locations.

4 Do you agree with that?

5 A. Yes.

6 Q. Does paragraph 18 state the entire  
7 basis for your opinion in your opening report  
8 regarding the TimeLink devices distributing  
9 fingerprint data?

10 A. The documents I reviewed and quote here  
11 certainly indicate how -- it indicates that  
12 these devices are capable of distributing the  
13 information. I also understand from doing  
14 engineering for 30 years that there would be a  
15 need for that to take place in order for the  
16 system to work properly, and I also saw in  
17 Kostas Mallias's declaration that he testified  
18 about this.

19 Q. Let's go one sentence at a time. So it  
20 says:

21 [REDACTED]

22 [REDACTED]

23 [REDACTED]

24 [REDACTED]

That's not an important distinction in your mind, the difference between a partial and a whole?

A. It's clear that the fingerprint information that is obtained by a sensor can only be from the part of the finger that the sensor is in contact with, and so I see that as a distinction for like a rolled fingerprint with ink, but my point is that I had never heard of Mr. Minta's definition of fingerprint in all the time I've worked on this, and so I went back to the standard textbooks and I found that they all used the word fingerprint in the same way that I was instructed that BIPA used it, and so that's why I disagree with Mr. Minta's definition.

Q. Okay. And Mr. Minta goes on to write:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Is that consistent with what we talked about before in that the image typically would not be kept in the persistent memory, instead the features would be extracted and would be converted to a template?

1           A.     What has to happen for the device to  
2 work minimally is that the image fingerprint has  
3 to be acquired and that has to go to a memory so  
4 that the microprocessor can create the template.

5           After that the device may or may not  
6 throw away the fingerprint image data, but the  
7 data has to exist for long enough for the  
8 microprocessor to do that feature extraction  
9 into the template.

10          Q.     And that would be for a fraction of a  
11 second, correct?

12          A.     If that's how fast the microprocessor  
13 is and how complicated the template algorithm  
14 is.

15          Q.     Okay. Typically in a consumer user  
16 experience, you would want it to be less than a  
17 second, correct?

18          A.     In the device I worked on, you do not  
19 want to be annoying the user by having a long  
20 period for authentication.

21          Q.     Can you think of any reason why  
22 fingerscan time clocks would be different?

23          A.     So time clocks are also used by humans  
24 who will get frustrated if they have to wait a

1 long time for the template's algorithm to be  
2 executed.

3 Q. Okay. On the next page there is an  
4 illustration, Figure 2. Do you take any issue  
5 with that figure?

6 A. In what sense? I'm sorry.

7 Q. Do you think it's accurate?

8 A. If the sensor has -- if the sensor is  
9 of the size depicted, then it could be accurate.  
10 I mean, it's just a question of how big is the  
11 sensor, and so I'm not sure which device  
12 Mr. Minta is talking about here.

13 Q. Okay. [REDACTED]  
14 [REDACTED]  
15 [REDACTED]  
16 [REDACTED]  
17 [REDACTED]

18 MR. FICZKE: Where are you looking at?

19 MR. WOLFE: I'm paraphrasing from the  
20 last paragraph on 14 and the first  
21 paragraph on 15. So I'll rephrase it.

22 BY MR. WOLFE:

23 Q. [REDACTED]  
24 [REDACTED]



1 [REDACTED]  
2 [REDACTED]  
3 A. There are various ways of doing the  
4 feature extraction to make the template from the  
5 fingerprint, and those features that constitute  
6 the template are what the machine looks for, and  
7 I guess Mr. Minta is using the word pattern to  
8 describe the template. So the matching is done  
9 on the template which will list the features  
10 formed from the fingerprint.

11 Q. Is that the same thing as an impression  
12 of the ridges of the fingertip unique to each  
13 human being and used as a means of  
14 identification, which is the Chambers Dictionary  
15 definition?

16 A. That's the definition of fingerprint.  
17 [REDACTED]  
18 [REDACTED]  
19 [REDACTED]

20 The template is not the fingerprint.  
21 It's a calculation based on the fingerprint.

22 Q. The template is a list of the features  
23 found in the fingerprint, right?

24 A. I think that's fair.

1 Q. Do you know what that list looks like?

2 A. Well, that varies between  
3 manufacturers, and so, you know, minutiae points  
4 would be an example that is frequently used.  
5 Also things like the direction of all the  
6 ridges, that's a commonly used thing, where  
7 whorls end. There are a variety of ways to do  
8 the calculation of the template.

9 Q. [REDACTED]  
10 [REDACTED]

11 A. Okay. I have that one up, 31.

12 Q. Okay. Here you write:

13 [REDACTED]  
14 [REDACTED]  
15 [REDACTED]  
16 [REDACTED]  
17 [REDACTED]  
18 [REDACTED]

19 A. Yeah. I guess the company is now  
20 IDEMIA, but it was Sagem, yeah.

21 Q. And then you say, [REDACTED]  
22 [REDACTED]

23 I'm wondering why you said [REDACTED] What  
24 would possibly be wrong with that? I mean,

1 MR. FICZKE: Objection. One second,  
2 Dr. Daft. Objection; compound question.

3 BY MR. WOLFE:

4 Q. I'll ask it again.

5 MR. FICZKE: Yeah, if you can fix it.

6 BY MR. WOLFE:

7 Q. You believe what's initially collected  
8 is a fingerprint, correct?

9 A. Yes.

10 Q. And is it your understanding of  
11 Mr. Minta's opinion that he does not think a  
12 fingerprint is initially collected?

13 A. That is my understanding, yes.

14 Q. And when we get to pages 25 to 27, he's  
15 got a detailed description of how the devices  
16 work. And my question is, other than his  
17 opinion that what's initially collected is not a  
18 fingerprint, do you disagree with anything else  
19 in his description of how the devices work?

20 A. I disagree with -- well, I think that  
21 his description here supports my conclusion that  
22 the devices are collecting and storing biometric  
23 information, but that biometric information is  
24 the template.

1 is inaccurate?

2 A. I don't see a problem with Figure 12.  
3 I don't know its prominence, but it squares with  
4 my understanding of how these devices work.

5 Q. Okay. Look at Figure 13. Based on  
6 your experience and education, do you have  
7 reason to believe that Figure 13 is inaccurate?

8 A. I'm trying to see what the difference  
9 between 12 and 13 is. Currently I'm thinking  
10 that it's just the red X on the template  
11 encryption or rather the matcher algorithm. I  
12 mean, from the -- for the purposes of what's at  
13 issue here, again you see a sensor collecting  
14 data which to me is obviously a fingerprint.

15 That has to get stored in memory so  
16 that the CPU can turn it into a template, and I  
17 see at the top right again template storage. So  
18 if this is an accurate representation of what  
19 goes on inside the device, then it's supporting  
20 my opinions.

21 MR. WOLFE: New exhibit.

22 (Exhibit 13 was marked for  
23 identification.)

24 MR. WOLFE: So 13 is document

1 Q. What is that reason?

2 A. An image -- in order for the device to  
3 work, the image must be stored because data must  
4 be provided to the microprocessor to calculate  
5 the template, so the image must be stored.

6 Q. And when you say stored, you're  
7 referring to the transient image that would  
8 exist for less than a second while feature  
9 extraction takes place, right?

10 A. What I'm saying is that regardless of  
11 how long it's stored for, it has to be stored or  
12 the device wouldn't work.

13 Q. But like we talked about earlier, it  
14 would be very typical for an image to be  
15 captured, the features extracted, and the image  
16 discarded, right?

17 A. Yes. I think that typically is a way  
18 these devices work.

19 Q. And that process takes less than a  
20 second?

21 A. I think that's fair, but I disagree  
22 with the statement no images are stored at all  
23 within the Kronos system because if that's true,  
24 the device can't work.

1 Q. It has to store the image briefly in  
2 order to extract the features is your opinion?

3 A. Yes. I mean, that's how it has to  
4 work. The microprocessor has to have data to  
5 work with because the template is a calculation  
6 from the fingerprint image.

7 Q. Okay.

8 A. [REDACTED]  
9 [REDACTED]  
10 [REDACTED]  
11 [REDACTED]  
12 [REDACTED]  
13 [REDACTED]  
14 [REDACTED]  
15 [REDACTED]  
16 [REDACTED]  
17 [REDACTED]

18 Q. I understand. And that process would  
19 take less than a second?

20 A. Typically it could.

21 Q. Now, the IAFIS system actually does  
22 store fingerprint images, right?

23 A. That's how it started, yes. And so  
24 that is a -- I agree with Kronos that there are

1 differences between IAFIS and the Touch ID, [REDACTED]

2 [REDACTED]  
3 [REDACTED]  
4 Q. Look at the diagram at the bottom of  
5 the page.

6 MR. FICZKE: Is that the Step 1  
7 diagram?

8 MR. WOLFE: Yes, sir.

9 BY MR. WOLFE:

10 Q. Is that diagram accurate from, you  
11 know, a basic perspective of how the technology  
12 works?

13 A. It is beyond me how the technology  
14 could work if the fingerprint was not stored so  
15 that the template could be produced from it.

16 Q. Okay. And then let's go, let's break  
17 it down a little bit. [REDACTED]

18 [REDACTED]  
19 Do you think that part is accurate?

20 A. Yes.

21 Q. [REDACTED] So your opinion is that  
22 the fraction of a second capture is equivalent  
23 to storage; is that right?

24 A. The word storage doesn't have inside it

1 the fingerprint to the template. [REDACTED]

2 [REDACTED]  
3 [REDACTED]  
4 [REDACTED]  
5 [REDACTED]  
6 [REDACTED]  
7 [REDACTED]  
8 BY MR. WOLFE:

9 Q. And the template is a list of features  
10 formed from the fingerscan based on whatever  
11 algorithm is in play in these devices?

12 A. Yes. The template is a computation of  
13 let's call it the essence of what the  
14 fingerprint has.

15 Q. And I don't want to ask you right now  
16 about your opinions about recreating the  
17 fingerprint. We'll get to that.

18 [REDACTED]  
19 [REDACTED]  
20 [REDACTED]  
21 Is there anything inaccurate about  
22 that?

23 A. I don't know how the device works. [REDACTED]  
24 [REDACTED]



1

2

3

That's my speculation as to what that  
-- the first part of the Step 2 sentence means.

5

Q. Okay. Do you disagree that a template  
is a mathematical representation?

7

A. No. I think that's a fine description  
of template.

9

Q. Are you aware if templates -- templates  
are stored in the system somehow, right?

11

A. Yes, because otherwise they couldn't  
work and, you know, Mr. Minta's report has  
diagrams including template storage.

14

Q. Do you know, are they stored in a  
table, something like a CSV file?

16

A. So I don't know. But from my  
experience with embedded systems -- this is an  
embedded computer system -- I would doubt that  
the template storage is a CSV file, but honestly  
I don't know.

21

Q. You don't know what kind of file it  
would be stored in?

23

A. I would expect that on an embedded  
system it would not be stored in a rather

24

1 Do you see that?

2 A. I do.

3 Q. My question is, after the long  
4 discussions we've had today and the documents  
5 that we've looked at throughout the day, is it  
6 still your opinion that there is no evidence to  
7 support a difference?

8 A. My opinion remains that finger  
9 scanning, as I state in my report, is the verb  
10 and fingerprint is the noun that you get from  
11 doing the verb.

12 Q. Okay. You didn't quite answer my  
13 question though. The question is, is there no  
14 evidence to support a difference or are you  
15 resolving the evidence to your opinion?

16 A. I see -- so let me answer it this way.

17 When -- every time that Mr. Minta is  
18 using fingerscan as a noun, I'm baffled because  
19 it's obviously a fingerprint.

20 Q. Is the fact that the sensors may scan  
21 something less than a full fingerprint not  
22 evidence that there could be a difference  
23 between a fingerscan and a fingerprint?

24 A. So let me answer that in two parts.

1 BIPA says that finger scanning is the verb to  
2 get the fingerprint, and a small sensor will  
3 produce a truncated fingerprint. It's still a  
4 fingerprint. It might not cover the entire  
5 finger, but it's still a fingerprint.

6 Q. Okay. Five minutes ago you told me  
7 there was obviously a difference between a  
8 partial fingerprint and a full fingerprint.

9 A. That's correct. It's fuller  
10 data, yes.

11 Q. Go to paragraph 42 and 43 in your  
12 report.

13 A. Okay. I see that.

14 Q. Your opinion here is that Ms. Jones's  
15 deposition does not support the statement in the  
16 Minta opening report; is that right?

17 A. I did not find that -- the information  
18 that's in Mr. Minta's report, I didn't find that  
19 in the deposition transcript.

20 Q. Okay. Do you have any other basis for  
21 the opinion in this part of the report?

22 A. The only opinion I'm giving here is  
23 that there isn't support for the claim on page  
24 31 lines 23 to 24. There was a citation there

1 and I followed up on the citation and I couldn't  
2 find what was being referred to, and there isn't  
3 a citation to a line of the deposition  
4 transcript.

5 Q. Thank you for your clear answer.  
6 Paragraphs 44 and 45 with the subheading,

7 [REDACTED]

8 [REDACTED]

9 A. I see that, yes.

10 Q. Your opinion here is that the statement  
11 in Mr. Minta's opening report is inconsistent  
12 with Kostas Mallias's declaration; is that  
13 right?

14 A. It is inconsistent, that's correct.

15 Q. And the basis for that opinion is your  
16 review of Minta's opening report and Mallias's  
17 declaration?

18 A. That's -- so I guess that there is a  
19 couple of parts to this. Other citations show  
20 that the database sharing is possible. This was  
21 one piece of evidence that it had actually  
22 happened, and my engineering background suggests  
23 that the networking capabilities of these time  
24 clocks would be used for backups.

1           So I suspect that's not the only time  
2           that the templates moved from a clock to the  
3           central server or back in the other direction,  
4           but these citations I have here are one example  
5           that I saw in the evidence.

6           Q.     Thank you for that.   And we talked  
7           about that earlier, right? [REDACTED]

8 [REDACTED]  
9 [REDACTED]  
10          A.     That's right.

11          Q.     Okay.   Is there any other basis for  
12          this opinion other than what's in your opening  
13          report and what we talked about earlier and the  
14          statement in your rebuttal?

15          A.     The other thing I would say is in order  
16          to run a corporation with a lot of convenience  
17          stores, backup is necessary.   And so I would be  
18          very surprised if these time clocks were not --  
19          you know, if the company did not use the  
20          networking capabilities built into the time  
21          clocks for backup purposes.

22          Q.     What experience in biometrics do you  
23          have that Mr. Minta does not?

24          A.     I don't know that I can answer for

1 Q. Your opinion is that it is not  
2 impossible to reverse engineer a fingerprint  
3 from a template; is that right?

4 A. My opinion is that it is not impossible  
5 to reverse a template into a fingerprint.

6 Q. Do you have some ideas about how it  
7 could be done?

8 A. Yes, and those are cited in my report.

9 Q. One of them involved invertible neural  
10 networks, right?

11 A. That's correct.

12 Q. Are you an expert in invertible neural  
13 networks?

14 A. I have been working in neural networks,  
15 I hate to say, since 1990, so this is a variety  
16 of neural network that I'm familiar with.

17 Q. What does it mean to be an invertible  
18 neural network?

19 A. It means that there is a forward  
20 process. In this case the forward process is  
21 the mathematical calculation from the  
22 fingerprint to the template, and what the neural  
23 network is doing is learning, as in machine  
24 learning, how to do the reverse process, going

1 from the template to the fingerprint image.

2 Q. Can you describe your experience with  
3 invertible neural networks?

4 A. So invertible neural networks are  
5 actually the subject of one of the publications  
6 in my CV. I can find which one it is if that's  
7 helpful.

8 Q. Yes. I would like to know.

9 A. It is citation 19 on page 5 of my CV.

10 Q. Can you describe generally what that  
11 paper was about?

12 A. Yes. So the goal there was we have a  
13 situation, it was a medical imaging situation,  
14 and the -- we know what I'll call the forward  
15 problem.

16 So this is ultrasound tomography.  
17 Imagine it in breast imaging. You've got the  
18 breast in a water tank and there is ultrasound  
19 transducers surrounding the breast. We know how  
20 to solve that problem in the forward direction.

21 What I mean by that is given the  
22 tissue, we can predict what the data acquired by  
23 the sensors did, and we can do that reliably.

24 What is of great interest for medicine

1 is being able to do the inverse problem, which  
2 is going from the sensor data back to the tissue  
3 characteristics of the breast and being able to  
4 make an image of the breast.

5 So what we were doing in that work is  
6 parallel to the situation with the fingerprint  
7 image and the template. So in this situation  
8 I'm going from the acquired data back to an  
9 image of the breast, given that I know how to do  
10 the forward problem. So the neural network  
11 learns the inverse problem, which I can't do.

12 So in the same way, with the  
13 fingerprint obviously we know what the forward  
14 problem is because that's just the software  
15 being executed by the microprocessor, and the  
16 inversion in the invertible neural network is  
17 teaching by machine learning that network to go  
18 in the opposite direction. That's how this work  
19 is similar to inverting the template back into  
20 the fingerprint.

21 Q. Are you aware of anyone who has reverse  
22 engineered a fingerprint image from a template  
23 using invertible neural networks?

24 A. I'm not aware of that. I have another



1 citation not using invertible neural networks  
2 where the fingerprint image is being produced  
3 from the template.

4 Q. When you refer to that citation, are  
5 you referring to the article Fingerprint Image  
6 Reconstruction from Standard Templates by  
7 Cappelli and others?

8 A. Yes. So that's peer reviewed actually  
9 in a very prestigious journal, the IEEE  
10 Transactions on Pattern Analysis and Machine  
11 Intelligence.

12 Q. Okay. We'll get to that. You also  
13 mentioned the use of artificial intelligence in  
14 your rebuttal?

15 A. Yes. And to be clear, artificial  
16 intelligence these days is sometimes used  
17 synonymously with neural -- actually often used  
18 synonymously with neural networks.

19 Q. Is that also true of the term deep  
20 learning?

21 A. Yes. All of those terms are kind of  
22 mushed together. Now, it's -- basically what  
23 all of that means is I know how to do the  
24 forward problem. I know how to go from the

1 fingerprint to the template.

2 I am going to show the system -- which  
3 we can call a deep learning device, a neural  
4 network, or an artificial intelligence. I'm  
5 going to show it what are examples of  
6 fingerprints and templates, and from that  
7 training experience, this AI is going to learn  
8 how to invert.

9 Q. Got it. So you mentioned invertible  
10 neural networks, artificial intelligence, and  
11 deep learning, which I understand you to be  
12 saying are all approximately the same as being  
13 what you just described; that's how you would do  
14 it in a general --

15 A. That's right. They are all learning  
16 systems and those terms are -- I mean, 20 years  
17 ago those terms meant different things, but  
18 these days I think it's lost some precision.  
19 But all I'm meaning is it's a learning system  
20 that you train.

21 Q. Got it. Now, you mentioned in passing  
22 just now the paper by Cappelli and others.  
23 We'll talk about that in a minute.

24 Do you have any other ideas about how a

1 fingerprint could be reverse engineered from a  
2 template?

3 A. No. I think between machine learning  
4 algorithms and what Cappelli shows, that's  
5 what's backing up my claim that it is not  
6 impossible to do.

7 And I should add one other thing, that  
8 the capabilities of these AI systems are  
9 advancing with extraordinary speed. So it is  
10 very possible that if it's too hard today, that  
11 it won't be too hard in six months.

12 Q. Sure. Okay. So have you ever seen the  
13 Cappelli article before you found it in  
14 connection with this project?

15 A. No.

16 Q. Have you read any other papers, studies  
17 or publications on the topic of fingerprint  
18 image reconstruction from templates?

19 A. In one of the standard textbooks that I  
20 cite, I think it's Jain's book, there is a whole  
21 section that is entitled Attacks on the Template  
22 Database. So, in other words, this is some  
23 actor that wants to break into the biometric  
24 system, and so in that section in that monograph

1 there is some other information on converting  
2 templates to fingerprint images.

3 Q. And in that chapter in the Jain  
4 textbook, J-a-i-n, other topics besides  
5 reconstruction of templates are addressed,  
6 right?

7 A. That's correct.

8 Q. And it mentions a whole bunch of  
9 methods of attacking the database and utilizing  
10 the information in the database, right?

11 A. Yes.

12 Q. How long is the portion of the Jain  
13 chapter on template reconstruction?

14 A. I see that the -- I'm just reading from  
15 my report. I see that attacks on the template  
16 database is 18 pages long.

17 Q. Okay. And then the portion of that  
18 template reconstruction is something less than  
19 18 pages?

20 A. Yes, I think so.

21 Q. Okay. So tell me about the Cappelli  
22 article and how they purportedly reconstructed  
23 fingerprint images from templates.

24 A. Well, they did examples of

1 reconstructing fingerprint images from template  
2 data.

3 Q. Do you have any firsthand experience  
4 with that?

5 A. I have not attempted to reconstruct  
6 fingerprint images from template data. However,  
7 because I have been a neural net person for a  
8 long time, I know exactly how to do it.

9 Q. Okay. How would you do it?

10 A. I would get a large database and I  
11 would set up a neural network or actually a  
12 variety of neural networks and I would train  
13 them on that data, and then I would validate the  
14 result by showing it template information that  
15 it had not seen in the training setting.

16 Q. And if you wanted to train a neural  
17 network to reconstruct fingerprint images, you  
18 would need to know about the algorithm that is  
19 operating on the finger to create the original  
20 template, right?

21 A. Actually no. All I would need is  
22 examples of fingerprints and their corresponding  
23 templates. I would not need to know what the  
24 algorithm was.

1 Q. You could back out the algorithm?

2 A. It's possible. I wouldn't put it quite  
3 like that. The neural network eventually after  
4 training understands what the algorithm is. But  
5 I would not -- in order to break into this  
6 system, I would not need, for example, the  
7 source code running on a Kronos microprocessor.  
8 I would only need the fingerprint data and the  
9 template data.

10 Q. Okay. And within this database all the  
11 templates would have to be constructed the same  
12 way, right?

13 A. Yes. So the machine learning system is  
14 learning one specific algorithm. It would have  
15 to be repeated if there were a different  
16 algorithm in play.

17 Q. So the templates you would have would  
18 be -- I'm not going to use the right terms.  
19 They would be in some sort -- I mean this in a  
20 colloquial sense.

21 The templates that you would have would  
22 be in some code, right? Like the first part of  
23 the template corresponds to X, the next part of  
24 the template corresponds to Y, and eventually if

1 you have enough of them, you can -- and the  
2 original fingerprint images, you can figure out  
3 how to reconstruct by teaching the machine that  
4 this template came from this image and this is  
5 what the code is?

6 A. That's right. I mean, that's true and  
7 it's actually true even independent of how the  
8 template information is encoded. So you were  
9 asking earlier about how that data is stored.  
10 This approach doesn't care about how that data  
11 is stored.

12 Q. What do you mean by that, doesn't care  
13 about how the data is stored?

14 A. What I mean is that if the template is  
15 stored in a CSV file as you had asked me about  
16 before, then this approach works. If the  
17 template is stored as raw binary data, this  
18 approach would also work.

19 Q. Are there other ways the template might  
20 be stored?

21 A. Yes. There are -- I mean, the encoding  
22 of that template data could be done in many,  
23 many different ways.

24 Q. Could it be stored in a text file?

1 Q. Binary format is like zeroes and ones,  
2 correct?

3 A. That's right, and obviously also there  
4 are many ways to do the encoding. By saying  
5 it's binary format, really what's meant there is  
6 it's not human readable.

7 Q. Okay. Would a human ever have occasion  
8 to read a fingerprint template?

9 A. If they were attempting to attack a  
10 biometric system, yes.

11 Q. If you were a human, not a machine, who  
12 wanted to read a fingerprint template, what  
13 would you do?

14 A. There is software that allows the  
15 binary data to be represented in a human  
16 readable format. That's not what we were just  
17 looking at in Mr. Minta's expert report. What  
18 he's showing is binary data that's just read  
19 into a text editor.

20 So in the world of, you know,  
21 undermining biometric systems, you would be  
22 using a binary data editor.

23 Q. Okay. So a binary data editor is the  
24 software that you just described?



1           A.     Yes.   And there are plenty of examples  
2 of that type of software.

3           Q.     Okay.   And the binary data editor  
4 converts the binary template data into a human  
5 readable format?

6           A.     Yes.   It reads the binary information  
7 and it turns it into typically hexadecimal data,  
8 and hexadecimal data is human readable.

9           Q.     What does hexadecimal data look like?

10          A.     It looks like the number zero up to  
11 nine and it also includes the letters A through  
12 F, so it's running on normal numbers of base  
13 ten.   Hexadecimal means base 16.

14                 So it's not just the normal numbers.  
15 We have to use six letters as well, and those  
16 are traditionally the first six letters of the  
17 alphabet.

18          Q.     Okay.   So if I had a fingerprint  
19 template and I put it through a binary data  
20 editor and converted it into hexadecimal, I  
21 would then have a string of letters and numbers  
22 A through F, zero to nine; is that right?

23          A.     Yes, it is.

24          Q.     And then what would I do next to read

1 have four bytes for each hexadecimal letter. So  
2 you divide those figures by four and that would  
3 be the size of what you would see on the screen.

4 Q. So if I had a 400-byte template and I  
5 converted it to hexadecimal, I would have a 100  
6 character template in recognizable human  
7 characters, letters and numbers?

8 A. That's correct.

9 Q. Like a really long driver's license?

10 A. Yes.

11 Q. So Cappelli was able to reverse  
12 engineer the templates knowing the algorithm in  
13 the laboratory on an open system; is that right?

14 A. Yes. Cappelli is doing research where  
15 he knows what the format of the template is, so  
16 the aspect of the template format has been  
17 removed from the discussion. So he's answering  
18 the question of can we reconstruct from a  
19 template for which we have the format? And  
20 that's different from the neural net approach  
21 where the format is irrelevant.

22 Q. Got it. So Cappelli sort of has an  
23 advantage over the neural network approach,  
24 right? He already knows what the format is?

1 doesn't apply here.

2 Q. Okay. Templates are the result of  
3 feature extraction, correct?

4 A. I think that's fair.

5 Q. And a template contains some lesser  
6 amount of data than the original image, correct?

7 A. Yes. We can see in Table 1 of the  
8 paper which I guess is on page 1492, this is  
9 showing the type of information that's in the  
10 template.

11 Q. So as part of the reconstruction  
12 process, the image that is reconstructed is  
13 going to be missing some information that would  
14 have been in the original image; is that right?

15 A. Well, that's where if you remember many  
16 hours ago we were talking about compressed  
17 sensing, how a digital camera can produce a  
18 resolution that's much higher than its sensor's  
19 resolution.

20 While the template is much smaller than  
21 the image, if it's got the key information and  
22 if the algorithm or the learning system is  
23 clever enough, then that is resulting in a  
24 synthetic fingerprint of good quality.

1 Q. Did Cappelli and his coauthors use that  
2 method?

3 A. Cappelli and the coauthors use the  
4 template data and they invent an algorithm that  
5 analyzes the template data and creates a  
6 synthetic fingerprint image, and then they  
7 evaluate how good that image is.

8 Q. And ultimately they conclude that it  
9 would be unlikely to fool a human reviewer but  
10 potentially could fool the same system; is that  
11 right?

12 A. That is what is stated in the abstract,  
13 and I have no reason to doubt that in 2007 that  
14 is what they concluded. So a high chance of  
15 deceiving state-of-the-art commercial  
16 fingerprint recognition systems, I think that's  
17 important for this case, because we're not  
18 talking about a human expert in fingerprints  
19 looking at the data. We're talking about can  
20 the machines be deceived.

21 Q. Is the reconstructed image transferable  
22 from one system to another? So if I reconstruct  
23 an image from the TimeLink system, can I go use  
24 it on the Qualcomm phone?

1           A.     I think so because it's just the  
2 scanned image, and so that is far more doable  
3 than, you know -- if you don't know what the  
4 fingerprint template is, once you've got it back  
5 to an image, I think you can use it in other  
6 systems far more easily.

7           Q.     But the reconstructed image is based on  
8 reversing the algorithm essentially, right?

9           A.     I wouldn't put it that way. What  
10 they've done here is they have made an algorithm  
11 that understands the template and predicts the  
12 image, so it's through their understanding of  
13 the characteristics of fingerprints.

14                 So the reason I brought up the  
15 compressed sensing in the digital camera is it's  
16 the same thing. The small amount of data plus  
17 the knowledge of the algorithm is able to get  
18 back with some level of fidelity to the  
19 fingerprint image.

20          Q.     Would it make a difference if the  
21 feature extraction method was different from one  
22 sensor to the another, like if one was doing  
23 minutiae and one was doing, you know, ridge  
24 flow?

1 would have to do that work again for a different  
2 feature extraction algorithm.

3 If I'm a bad guy and I want to make  
4 money by breaking into the system, I would  
5 choose the neural network approach where neither  
6 the feature extraction specifics nor the  
7 encoding of the data matter for creating the  
8 fingerprint image, the synthetic fingerprint  
9 image.

10 Q. And why did either of them matter?

11 A. The Cappelli paper presumes a certain  
12 format and a certain kind of feature extraction.  
13 So they're not trying to break into that system  
14 to make money.

15 They're interested in what is the  
16 algorithmic likelihood, so they've just  
17 subtracted the whole question of how is the  
18 template formatted and what's in the template.  
19 They've subtracted that. They know all of that,  
20 so that's not part of their work.

21 But if I'm a criminal, I would opt for  
22 the approach where it doesn't matter about the  
23 format and it doesn't matter about the feature  
24 extraction.

1 Q. It would require a lot of resources,  
2 right?

3 A. I disagree. The speed -- I mean, the  
4 amount of learning power that is available with  
5 commodity hardware, like let's say a desktop PC,  
6 the amount of learning power in that has  
7 improved at an extraordinary rate in the last  
8 five to ten years.

9 Q. Do you think it could do an invertible  
10 neural network of this power on a PC that I can  
11 buy at Target?

12 A. I think, I mean my point in my report  
13 is we can't make a statement that -- I disagree  
14 with the statement that inverting the template  
15 algorithm is impossible. I think that's  
16 incorrect, and I think this paper shows that  
17 algorithmically it's possible and I feel that a  
18 neural network approach could be very suitable  
19 for someone with nefarious intent.

20 Q. Okay. We've talked about the neural  
21 network idea. We've talked about Cappelli and  
22 his paper.

23 Do you have any other ideas about how a  
24 fingerprint image could be reverse engineered

1 from a template?

2 A. I think there is some other information  
3 in that section of the Jain book that I cite,  
4 but my opinion is simply disagreeing with the  
5 blanket statement that reversing the template  
6 algorithm is impossible. That's my opinion. I  
7 disagree that it's impossible.

8 Q. Okay. Can you go to Mr. Minta's  
9 opening report, Figure 8.

10 A. Yeah, I have that.

11 Q. Okay. And Figure 8 is where you can  
12 see the circuit board?

13 A. Okay. Yes, I have that.

14 Q. It's on page 21, right? There is three  
15 photos of the interior of the time clocks?

16 A. Yes.

17 Q. [REDACTED]

18 [REDACTED]

19 [REDACTED]

20 [REDACTED]

21 [REDACTED]

22 A. That's right.

23 Q. How would you do that?

24 A. So the context of this is how much



1 effort is determined by how valuable the  
2 information is, but the procedure would be to  
3 use some means to attach to the points in the  
4 circuit, for example, the pings.

5 [REDACTED]  
6 [REDACTED] This is a standard free  
7 scale microprocessor, very common device.

8 Q. Are you referring to Figure 8 here?

9 A. Yes.

10 Q. Okay.

11 A. So underneath that device there is a  
12 whole bunch of pins, maybe 100, 150 of them. So  
13 it is entirely feasible to interpose a connector  
14 between that chip and the circuit board and  
15 watch all of the signals coming out of that and  
16 into that microprocessor.

17 Q. To do that, you have to physically  
18 connect probes in the logic analyzer, right?

19 A. That's right.

20 Q. What do you do with the oscilloscope?

21 A. The oscilloscope would be useful  
22 initially in learning what goes where in the  
23 circuit. This could take some amount of time,  
24 [REDACTED]

1

2

3

Q. You said using the oscilloscope to learn what goes where in the circuit could take some amount of time?

6

A. Yes.

7

Q. How much time do you think it would take?

9

A. I can't tell you without having tried to do this, this procedure.

10

11

Q. Do you personally have direct circuit probing experience with a prefabricated board like this?

12

13

14

A. I do.

15

Q. What is that experience?

16

A. When I worked at Cephasonics, we had lots of circuit boards where we have to probe with a logic analyzer in just the way that I've been describing.

17

18

19

20

Q. And what kinds of projects were you working on when you were doing that?

21

22

A. That was like an integrated circuit, some that we designed, and so the goal of the work was to understand whether the integrated

23

24

1           Once we have the circuit doing what we  
2   want or mostly doing what we want, then I go off  
3   and work on algorithms or something. So there  
4   were times when I was doing a lot of this and  
5   times when I was doing none of it.

6           Q.    So over the two years that you were at  
7   Cephasonics, less than ten percent of your time?

8           A.    We were pretty good. We could make  
9   circuits that work. And so the amount of  
10   probing that needed to be done was probably less  
11   than ten percent, but it's just a skill. I  
12   mean, once you know how to do it, it's not like  
13   if I spent my whole day doing it, I'm better.  
14   It's more of just once you know how to do this  
15   thing, it's an ordinary engineering skill.

16          Q.    At Cephasonics were you trying to  
17   reverse engineer other people's technologies so  
18   you could copy it?

19          A.    No, but trying to figure out a circuit  
20   board that's not working the way you want is  
21   just the same.

22          Q.    At Cephasonics you would have the  
23   schematic diagram to know how it should work,  
24   right?

1           A.     That's correct, and I know that I can  
2 go to the free scale website and I can download  
3 a document that tells me exactly what every pin  
4 under that MX-1 chip does. So I already know  
5 all of that information. I don't need to figure  
6 that out.

7           Q.     Okay. A schematic diagram would be  
8 really helpful, right?

9           A.     The schematic diagram can be obtained  
10 by reverse engineering the circuit board, so if  
11 this is something -- if this is a project where  
12 resources are available, the schematic can  
13 easily be reverse engineered.

14          Q.     Okay. A minute ago you said, I know I  
15 can go to the free scale website and I can  
16 download a document that tells me exactly what  
17 every pin is under the MX-1 chip.

18          A.     MX-1, it's a microprocessor. So yes,  
19 free scale documents, they're products, and so  
20 that includes telling me exactly what every pin  
21 does.

22          Q.     Did you actually do that?

23          A.     If I were on this project, that would  
24 be one of the first things I would do.

1 Q. But you didn't actually do it on this  
2 project, right?

3 A. I have not had a device in front of me.

4 Q. Okay. And you didn't go to the  
5 DragonBall website and get the schematic  
6 diagram?

7 A. No, because that would only be useful  
8 if I were seriously reverse engineering the  
9 circuit.

10 Q. Your point is simply that it is -- in  
11 your opinion it is possible to reverse engineer  
12 the circuit?

13 A. I think I put it slightly stronger than  
14 that. Reverse engineering the circuit is  
15 completely doable by an organization with enough  
16 resources.

17 Q. Like the National Security Agency?

18 A. I don't know what they -- I don't know  
19 what goes on inside there, but I know that in  
20 many cases people reverse engineer circuits like  
21 this simply because there is an economic  
22 motivation to do so.

23 Q. All right. So do you agree with me  
24 that the schematic diagram would be helpful?

1           A.     Yes, and I'm saying that one can  
2 reverse engineer the schematic diagram from the  
3 physical object.

4           Q.     Why would you need the schematic  
5 diagram for reverse engineering from the object?  
6 I don't understand.

7           A.     Well, it's certainly helpful to have  
8 the schematic. What I'm saying is there is  
9 nothing magical that needs to take place to go  
10 from that board and its components to the  
11 schematic. It's a bunch of tedious work but  
12 it's not hard.

13          Q.     How many hours total would you estimate  
14 you spent at Cephasonics on direct circuit  
15 probing?

16          A.     I don't recall, but I will reinforce  
17 that this kind of probing, it's just a skill.  
18 You learn it at some point and you can do it  
19 afterwards. It's not a magical skill. It's a  
20 standard engineering technique.

21          Q.     Was it less than 100 hours?

22          A.     I think so.

23          Q.     All right. So looking at the photo on  
24 the right in Figure 8, where on the circuit

1 board would you connect to the signals?

2 A. I think the correct answer to that is  
3 as many places as possible. So that would  
4 include places like if you look at the bottom  
5 left, you'll see some solar panels. So that's  
6 one place that's very easy to connect.

7 You would also connect to -- I mean,  
8 you see three large integrated circuits. You  
9 would connect to all of the pins of each of  
10 those circuits, and you would connect to as many  
11 other places as you can find.

12 Q. A circuit board can have multiple  
13 layers, right?

14 A. That's correct.

15 Q. And some signals can be on inner layers  
16 of the board?

17 A. Yes. And so when people are doing  
18 reverse engineering, they slice these boards up.  
19 That's how you figure out -- I mean, the board  
20 is made from a bunch of layers that are glued  
21 literally together and it is sectioned so that  
22 it goes back to the parts that go into making  
23 the circuit board.

24 So at that point you know what the

1 layout is everywhere, including in the internal  
2 layers.

3 Q. I'm a little confused by your last  
4 sentence. You said, At that point you know what  
5 the layout is everywhere, including the internal  
6 layers. At what point do you mean?

7 A. I'm sorry. Let me say that  
8 differently.

9 The way a multilayer board is made is  
10 from a number of layers. They're physically  
11 separate pieces that are in the manufacture and  
12 they're glued together. When you're doing  
13 reverse engineering, you use a precise saw to  
14 divide the board up so that you get back all of  
15 those pieces which were the input to the board's  
16 manufacture. Once you've sliced the board into  
17 those pieces, then you can see the layout for  
18 the entire board.

19 Also in doing reverse engineering there  
20 are techniques like taking an x-ray of the  
21 circuit board provides lots of helpful  
22 information, so this field of reverse  
23 engineering is incredibly sophisticated.

24 There were lots of standard techniques



1 that have been -- that are known for doing  
2 reverse engineering. But certainly the fact  
3 that this board is probably multilayer isn't an  
4 obstacle to reverse engineering it.

5 Q. You say the layers are glued together,  
6 right?

7 A. That's right.

8 Q. Are they covered in some kind of  
9 protective coating before they're glued  
10 together?

11 A. It's laminated in a certain way so that  
12 -- I mean, you have to make it so the one  
13 layer's copper, the conductors, doesn't  
14 interfere with another layer's copper. But  
15 they're laminated together with glue. I mean,  
16 this is very standard technology.

17 Q. What kind of saw do you use to separate  
18 the layers?

19 A. There are lots of sophisticated saws.  
20 You know, one that works is a saw like the type  
21 of saw that's used to cingulate, which means  
22 divide up, integrated circuits.

23 There are other -- I mean, another way  
24 of doing it, perhaps simpler, is simply to take

1 the circuit board and put it rotating like a  
2 sander, right? So you can just abrade a layer  
3 of the circuit board and then you'll see what's  
4 underneath it. This is standard procedure.

5 Q. Do you know how many layers this board  
6 has?

7 A. I do not.

8 Q. But you said it's likely a multilayered  
9 board?

10 A. That would be my guess.

11 Q. When you have a multilayered board like  
12 this, can you be sure that the visible or  
13 accessible signals are the signals that you need  
14 to access?

15 A. For purposes of reverse engineering,  
16 you don't need to know everything. Those three  
17 chips that we can see are the three black  
18 squares. If you -- and if are reading every pin  
19 of each of those chips, that's providing an  
20 enormous amount of information suitable for  
21 reverse engineering what's going on.

22 So you don't have to go after every  
23 last -- every last piece of copper. Doing that  
24 could well be sufficient.

1           Q.     How much time do you estimate it would  
2     take for someone with a reasonably high degree  
3     of microelectronic skill to do what you just  
4     talked about?

5           A.     I can't give a reliable answer to that.  
6     I have -- I mean, this is called a teardown.  
7     That's the -- I mean, that would be how you'd  
8     get all of the points. You can see teardowns.

9                 Every time there is a new iPhone, the  
10    reverse engineering companies do a teardown and  
11    they find out everything about that product  
12    including what's going on inside the chips,  
13    which is much harder than what I've been  
14    describing, which is learning what goes on on a  
15    circuit board.

16            So I can't estimate the amount of  
17    resources needed to do it. I do have a lot of  
18    confidence that given enough resources, this is  
19    doable.

20           Q.     From your experience in biosensing, do  
21    you have an opinion or knowledge about whether  
22    human finger ridges are regular and predictable  
23    by some equation that can be applied to them?

24           A.     What you just said is true to some

1 extent and not true generally, so I'm not  
2 offering an opinion on that.

3 Q. When a fingerscan image is converted to  
4 a template for feature extraction, some  
5 information is lost, right?

6 A. Certainly, because the file size is  
7 smaller. But again going back to the compressed  
8 sensing thing, you can't conclude from the file  
9 being smaller that you can't invert the process.  
10 You can't say that that's impossible, [REDACTED]

11 [REDACTED]  
12 Q. So your position is that although some  
13 information is lost, it can be recreated?

14 A. That's right, and the reason it's  
15 possible to recreate it, that's what Cappelli  
16 shows, and also I would take us back to the  
17 digital camera with the compressed sensing.

18 That file that the camera produces is  
19 tiny compared with the resolution, yet it's got  
20 all of the high quality photographs that the  
21 user wants. So simply making the data smaller  
22 does not mean that it's impossible to get back  
23 to the original data, in this case the  
24 fingerprint image.